

Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/873,163

COGNEX CORPORATION

RUSS WEINZIMMER

ONE VISION DRIVE NATICK, MA 01760 06/02/2001

Steven Olson

C01-010

CONFIRMATION NO. 3061

FORMALITIES LETTER

OC000000006378527*

Date Mailed: 08/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/10/2001 BABRAHA1 00000108 032357

130.00 CH

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23459

032357 09873163

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FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

| Please toppe a plus sign (- | | → [| are required | | Tradema | PTO/SB/21 (08-0 yed for use through 10/31/2002. OMB 0651- ark Office; U.S. DEPARTMENT OF COMME on unless it displays a valid OMB control nur | | |
|--|--|---------------|---|---|-----------|---|--|--|
| | ISMITTA | AL | | Application Number | r | 09/873,163 | | |
| F | ORM | | | Filing Date | | Jun 3 , 2001 | | |
| (to be used for all c | orrespondence after | initial filin | ng) | First Named Inventor | | Olson, | | |
| | | | | Group Art Unit | | 2173 | | |
| | | | | Examiner Name | | Not Yet Assigned | | |
| Total Number | Total Number of Pages in This Submission | | | | ber | C01-010 | | |
| | · · · · · · · · · · · · · · · · · · · | ENCLO | SURES | (check all that apply | <i>')</i> | ***** | | |
| Express Aband | ched eply al s/declaration(s) | | Drawing Licensi Petition Petition Provision Power of Change Address Termina | ment Papers Application) g(s) (Informal 7 sheets) ng-related Papers to Convert to a anal Application of Attomey, Revocation of Correspondence s al Disclaimer | | After Allowance Communication to Group Appeal Communication to Board Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Declaration and Power of Attorney for Patent Application Postcard | | |
| Certified Copy of Document(s) Response to Mincomplete App Respons | ssing Parts/ | Rema | | mber of CD(s) | | | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Type or printed name

Signature

Date

October 2, 2001

Individual name

October 2, 2001

Signature

Date

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OCT 0 5 2001 (C)

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) | 130.00 | |
|------|--------|--|

| Complete if Known | | | | | |
|----------------------|------------------|--|--|--|--|
| Application Number | 09/873,163 | | | | |
| Filing Date | 6/2/2001 | | | | |
| First Named Inventor | Olson, et al. | | | | |
| Examiner Name | Not Yet Assigned | | | | |
| Group Art Unit | 2173 | | | | |
| Attomey Docket No. | C01-010 | | | | |

| 1. X The Commissioner is hereby authorized to charge Fee | METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | | |
|---|---|-----------------------------|-----------------|-------|-----------------|---|----------|
| Code | indicated fees and credit any overpayments to: | | Large Entity | | Small Entity | S | |
| Deposition Cognex Corporation Cognex Cognex Corporation Cognex C | Account 03-2357 | | | | | Fee Description | Fee Paid |
| Charge Any Additional Fee Required 127 50 227 25 25 25 25 25 25 2 | Account Cognex Corporation | 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | 130.00 |
| See 37 CFR 127 | Charge Any Additional Fee Required | 127 | 50 | 227 | 25 | | |
| 2 | | 139 | 130 | 139 | 130 | Non-English specification | |
| Check | | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 1. BASIC FILING FEE Large Entity Fee | Charle Credit Card Money Other | 112 | 920* | 112 | 920* | | |
| Large Entity Fee F | FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | | |
| Total Claims | 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| Code (\$) Code (\$) Code (\$) Code Cod | | 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 118 1,390 218 695 Extension for reply within fourth month | Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 107 490 207 245 Plant filing fee | | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,51 | 106 320 206 160 Design filing fee | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 114 150 214 75 Provisional filing fee 121 270 221 135 Request for oral hearing 138 1,510 | 107 490 207 245 Plant filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | |
| SUBTOTAL (1) (\$) 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1,240 241 620 Petition to revive – unavoidable 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 144 600 244 300 Plant issue fee 145 130 122 130 Petitions to the Commissioner 150 123 50 123 50 Processing fee under 37 CFR 1.17(q) 150 180 202 40 Independent claims in excess of 3 150 150 150 150 150 150 150 150 150 150 | 108 710 208 355 Reissue filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| SUBTOTAL (1) (\$) 140 110 240 55 Petition to revive — unavoidable 2. EXTRA CLAIM FEES Extra Claims -20** = | 114 150 214 75 Provisional filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 2. EXTRA CLAIM FEES SEXTRA CLAIM FEES 141 1,240 241 620 Petition to revive – unintentional | | 138 | 1,510 | | 1,510 | Petition to institute a public use proceeding | |
| Total Claims | | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| Total Claims Independent | | 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | |
| Independent Claims Multiple Dependent Large Entity Fee Fee Fee Code (\$) 103 18 203 9 Claims in excess of 20 104 270 204 135 Multiple dependent claims in excess of 20 109 80 209 40 **Reissue independent claims over original patent Subtotal (2) (\$) 101 18 210 9 **Reissue claims in excess of 20 Subtomission of Information Disclosure Stmt 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Stmt 128 Submission of Information Disclosure Stmt 129 120 120 120 120 120 120 120 120 120 120 | Fee from Extra Claims below Fee Paid | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| Claims Multiple Dependent 124 800 244 300 Plaint issue fee | Total Olailo == | 143 | 440 | 243 | 220 | Design issue fee | |
| Multiple Dependent Small Entity Fee Fee Fee Code (\$) Fee Description 123 50 123 | | 144 | 600 | 244 | 300 | Plant issue fee | |
| Large Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Fe | Multiple = | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Fee Fee Fee Fee Code (\$) Fee Description 126 180 126 180 Submission of Information Disclosure Stmt 127 187 187 188 189 Submission of Information Disclosure Stmt 128 189 126 180 Submission of Information Disclosure Stmt 129 180 Submission of Information Disclosure Stmt 129 180 Submission of Information Disclosure Stmt 120 180 126 180 Submission of Information Disclosure Stmt 120 Submission of Information Disclosure Stmt 121 122 Submission of Information Disclosure Stmt 122 Submission of Information Disclosure Stmt 123 Submission of Information Disclosure Stmt 124 Submission of Information Disclosure Stmt 125 180 Submission of Information Disclosure Stmt 126 180 126 180 Submission of Information Disclosure Stmt 127 | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 103 18 203 9 Claims in excess of 20 104 270 204 135 Multiple dependent claims over original patent 105 80 209 40 "Reissue claims in excess of 20 106 107 107 107 107 107 107 107 107 107 107 | Fee Fee Fee Fee Fee Rescription | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 104 270 204 135 Multiple dependent claims in excess of 20 109 80 209 40 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent 110 SUBTOTAL (2) (\$) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application 110 Other fee (specify) | | 581 | 40 | 581 | 40 | | |
| examined (37 CFR § 1.129(b)) 109 80 209 40 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) **Reissue independent claims over original patent 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) | 102 80 202 40 Independent claims in excess of 3 | 146 | 710 | 246 | 355 | | |
| original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) Other fee (specify) | 104 270 204 135 Multiple dependent claim, if not paid | 149 | 710 | 249 | 355 | | |
| 110 18 210 9 "Reissue claims in excess of 20 and over original patent" SUBTOTAL (2) (\$) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| | 110 18 210 g **Reissue claims in excess of 20 | 169 | 900 | 169 | 900 | | |
| `` <u>\``</u> | SUBTOTAL (2) (\$) | Other | fee (spec | cify) | | | |
| | *** | | | | ee Paid | SUBTOTAL (3) (\$) 130.00 | 5 |

| SUBMITTED BY | | Complete (if applicable) | | |
|-------------------|--|--------------------------|----------------|--|
| Name (Print/Type) | Arthur J. O'Dea Registration No. (Attorney/Agent) 42,952 | Telephone | (508) 650-3108 | |
| Signature | Mond | Date | 10/2/01 | |

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